



PATENT
450106-02645

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Tetsujiro Kondo, et al.
Serial No. : 09/807,114
Filed : June 15, 2001
For : TRANSMITTING APPARATUS AND METHOD, RECEIVING APPARATUS AND METHOD, TRANSMITTING AND RECEIVING APPARATUS AND METHOD, RECORD MEDIUM AND SIGNAL (AS AMENDED)
Examiner : Nguyen, Kimnhung T.
Art Unit : 2674

745 Fifth Avenue
New York, NY 10151
Tel: 212-588-0800

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required.
 The fee has been calculated as shown below.
 This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

| (1) | (2) Claims remaining after amendment | (3) | (4) Highest number previously paid for | (5) Present extra | (6) Rate | (7) Additional Fee |
|-----------------------------------------|--------------------------------------------|-------|----------------------------------------------------|----------------------|-------------|--------------------------|
| Total claims | 45 | Minus | ** = 77 | * 0 x | \$18 (9) | = \$ 0 |
| Independent claims | 18 | Minus | *** = 16 | * 2 x | \$88 (44) | = \$ 176.00 |
| Total additional fee for this amendment | | | | | | \$ 176.00 |

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

** If the highest number of total claims previously paid for is less than 20, write "20" in this space.

*** If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

This application contains a multiple dependent claim. The required fee of \$300(150) has been previously paid , or is paid herewith .

This response is being filed within the _____ month following the expiration of the term originally set therefor. This is a petition to request a _____ month extension of time. A check covering the cost of the petition is enclosed.

A check in the amount of \$176.00 is attached, which covers the cost of additional claims _____ petition for extension of time.

Charge \$ _____ to Deposit Account No. 50-0320.

Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**, on October 19, 2004.

William S. Frommer, Reg. No. 25,506

Name of Applicant, Assignee or Registered Representative


Signature

October 19, 2004

Date of Signature

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 
William S. Frommer
Reg. No. 25,506
Tel: 212-588-0800



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450106-02645
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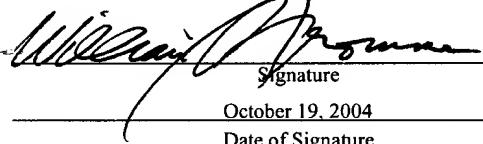
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Art Unit : 2674
Confirmation No. : 2203

745 Fifth Avenue
New York, NY 10151

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William S. Frommer, Reg. No. 25,506
(Name of Applicant, Assignee or Registered Representative)


Signature
October 19, 2004
Date of Signature

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination on the merits please amend the above-captioned application
as follows.

10/25/2004 FFANAI2 00000044 09807114

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Amendments to the Specification begin on page 3 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 4 of this paper.

Remarks/Arguments begin on page 25 of this paper.